

# On the Structure of Submanifolds with Degenerate Gauss Maps

Maks A. Akivis and Vladislav V. Goldberg

*Abstract.* An  $n$ -dimensional submanifold  $X$  of a projective space  $P^N(\mathbf{C})$  is called tangentially degenerate if the rank of its Gauss mapping  $\gamma : X \rightarrow G(n, N)$  satisfies  $0 < \text{rank } \gamma < n$ .

The authors systematically study the geometry of tangentially degenerate submanifolds of a projective space  $P^N(\mathbf{C})$ . By means of the focal images, three basic types of submanifolds are discovered: cones, tangentially degenerate hypersurfaces, and torsal submanifolds. Moreover, for tangentially degenerate submanifolds, a structural theorem is proven. By this theorem, tangentially degenerate submanifolds that do not belong to one of the basic types are foliated into submanifolds of basic types. In the proof the authors introduce irreducible, reducible, and completely reducible tangentially degenerate submanifolds. It is found that cones and tangentially degenerate hypersurfaces are irreducible, and torsal submanifolds are completely reducible while all other tangentially degenerate submanifolds not belonging to basic types are reducible.

*Keywords:* tangentially degenerate submanifold, submanifold with degenerate Gauss mapping, structure theorem.

2000 *Subject Classification:* 53A20

## 0 Introduction

An  $n$ -dimensional submanifold  $X$  of a projective space  $P^N(\mathbf{C})$  is called *tangentially degenerate* if the rank of its Gauss mapping  $\gamma : X \rightarrow G(n, N)$  is less than  $n$ ,  $r = \text{rank } \gamma < n$ . Here  $x \in X$ ,  $\gamma(x) = T_x(X)$ , and  $T_x(X)$  is the tangent subspace to  $X$  at  $x$  considered as an  $n$ -dimensional projective space  $P^n$ . The number  $r$  is also called the *rank* of  $X$ ,  $r = \text{rank } X$ . The case  $r = 0$  is trivial one: it gives just an  $n$ -plane. A submanifold  $X$  is called tangentially degenerate if  $0 \leq r < n$ , and it is denoted by  $V_r^n$ ,  $X = V_r^n$ . The submanifolds of rank  $r < n$  have been the object of numerous investigations because of their analogy to developable surfaces in a three-dimensional space and because of their significance in the theory of the curvature of submanifolds.

The tangentially degenerate submanifolds  $X$  of rank  $r < n$  were first considered by É. Cartan [C 16] in connection with his study of metric deformations of hypersurfaces, and in [C 19] in connection with his study of manifolds of constant curvature. In particular, Cartan proved that if  $V_r^n$  is a tangentially degenerate submanifold of dimension  $n$  and rank  $r$  and the dimension  $\rho$  of the osculating subspace is  $\rho = n + \frac{1}{2}r(r+1)$ , then  $V_r^n$  is a cone with an  $(n-r-1)$ -dimensional vertex.

It appeared that tangentially degenerate submanifolds are less rigid under the affine and projective deformations. Yanenko [Ya 53] studied them in connection with his study of metric deformations of submanifolds. Akivis [A 57,

62] studied them in multidimensional projective space, considered their focal images (the locus of singular points and the locus of singular hyperplanes) and applied the latter to clarify the structure of the tangentially degenerate submanifolds. Savelyev [Sa 57, 60] found a classification of tangentially degenerate submanifolds and described in detail the tangentially degenerate submanifolds of rank 2. Ryzhkov [R 58] showed that a tangentially degenerate submanifolds  $X$  of rank  $r$  can be constructed by using the Peterson transformation of  $r$ -dimensional submanifolds, and in [R 60] he proved that such a construction is quite general. That is, by means of it, an arbitrary tangentially degenerate submanifold  $X$  of rank  $r$  can be obtained (see also the survey paper [AR 64]). In particular, Ryzhkov [R 60] generalized the above mentioned Cartan's result to the case  $n + 1 + \frac{1}{2}r(r - 1) < \rho < n + \frac{1}{2}r(r + 1)$ . Brauner [Br 38], Wu [Wu 95], and Fischer and Wu [FW 95] studied such submanifolds in an Euclidean  $N$ -space.

For a submanifold  $V^n$  of a Riemannian space  $V^N$ ,  $n < N$ , Chern and Kuiper [CK 52] introduced the notion of the index of relative nullity  $\mu(x)$ , where  $x \in V^n$  (see also [KN 69], p. 348). The submanifolds  $V^n$ , for which  $\mu(x)$  is constant and greater than 0 for all points  $x \in V^n$ , are called *strongly parabolic*. Akivis [A 87] proved that if a space  $V^N$  admits a projective realization (this is always the case for the simply connected Riemannian spaces of constant curvature, see [W 72], Ch. 2) and if the index  $\mu(x)$  is constant on  $V^n \subset V^N$ , then the index  $\mu(x)$  is connected with the rank of a submanifold  $V^n$  by the relation  $\mu(x) = n - r$ . This implies that the results of the papers [A 57, 62] as well as the results of the current paper can be applied to the study of strongly parabolic submanifolds of the Euclidean and non-Euclidean spaces. In particular, in [A 87] Akivis proved the existence of tangentially degenerate submanifolds in such spaces without singularities and constructed examples of such submanifolds. The main results of papers indicated above can be found in Chapter 4 of the book [AG 93]. In the same paper [A 87], Akivis also proved that a hypersurface in a four-dimensional Euclidean space  $\mathbf{R}^4$  considered by Sacksteder [S 60] is of rank 2 and without singularities, and that this hypersurface is a particular case of a series of examples presented in [A 87]. Later Akivis and Goldberg [AG 00] proved that a similar example constructed (but not published) by Bourgain and published in [Wu 95], [I 98, 99a, 99b], and [WZ 99] coincides with Sacksteder's example up to a coordinate transformation.

Griffiths and Harris [GH 79] (Section 2, pp. 383–393) considered tangentially degenerate submanifolds from the point of view algebraic geometry. They used the term “submanifolds with degenerate Gauss mappings” instead of the term “tangentially degenerate varieties”. They used this term to avoid a confusion with submanifolds with degenerate tangential varieties considered in Section 5 of the same paper.

Following [GH 79], Landsberg [L 96] considered tangentially degenerate submanifolds. His recently published notes [L 99] are in some sense an update to the paper [GH 79]. Section 5 (pp. 47–50) of these notes is devoted to tangentially degenerate submanifolds.

Griffiths and Harris [GH 79] asserted a structure theorem for submanifolds

with degenerate Gauss mappings, that is, for the varieties  $X = V_r^n$  such that  $\dim \gamma(X) < \dim X$ . They asserted that such varieties are “built up from cones and developable varieties” (see [GH 79], p. 392). They gave a proof of this assertion in the case  $n = 2$ . However, their assertion is not completely correct. In a recent note [AGL], Akivis, Goldberg, and Landsberg present counter-examples to Griffiths–Harris’ assertion when  $n > 2$ , and in particular, they prove that this assertion is false even for hypersurfaces with one-dimensional fibers.

Recently four papers [I 98, 99a, 99b] and [IM 97] on tangentially degenerate submanifolds (called “developable” in these papers) were published. In [IM 97], the authors found the connection between such submanifolds and solutions of Monge–Ampère equations, with the foliation of plane generators  $L$  of  $X$  called the Monge–Ampère foliation. In [IM 97], the authors proved that the rank of the Gauss map of a compact tangentially degenerate  $C^\infty$ -hypersurface  $X \subset \mathbf{RP}^N$  is an even integer satisfying the inequality  $\frac{r(r+3)}{2} < N$ ,  $r \neq 0$ , and that if  $r \leq 1$ , then  $X$  is necessarily a projective hyperplane of  $\mathbf{RP}^N$ . If  $N = 3$  or  $N = 5$ , then a compact tangentially degenerate  $C^\infty$ -hypersurface is a projective hyperplane.

In [I 98, 99b], Ishikawa found real algebraic cubic nonsingular tangentially degenerate hypersurface in  $\mathbf{RP}^N$  for  $N = 4, 7, 13, 25$ , and in [I 99a] he studied singularities of tangentially degenerate  $C^\infty$ -hypersurfaces.

In 1997 Borisenko published the survey paper [B 97] in which he discussed results on strongly parabolic submanifolds and related questions in Riemannian and pseudo-Riemannian spaces of constant curvature and in particular, in an Euclidean space  $E^N$ . Among other results, he gives a description of certain classes of submanifolds of arbitrary codimension that are analogous to the class of parabolic surfaces in an Euclidean space  $E^3$ . Borisenko also investigates the local and global metric and topological properties; indicates conditions which imply that a submanifold of an Euclidean space  $E^N$  is cylindrical; presents results on strongly parabolic submanifolds in pseudo-Riemannian spaces of constant curvature, and finds the relationship with minimal surfaces.

In the current paper we study systematically the differential geometry of tangentially degenerate submanifolds of a projective space  $P^N(\mathbf{C})$ . By means of the focal images, three basic types of submanifolds are discovered: cones, tangentially degenerate hypersurfaces, and torsal submanifolds. Moreover, for tangentially degenerate submanifolds, a structural theorem is proven. By this theorem, tangentially degenerate submanifolds that do not belong to the basic types are foliated into submanifolds of basic types. In the proof we introduce irreducible, reducible, and completely reducible tangentially degenerate submanifolds. It is found that cones and tangentially degenerate hypersurfaces are irreducible and torsal submanifolds are completely reducible while all other tangentially degenerate submanifolds not belonging to basic types are reducible. Particular examples of tangentially degenerate submanifolds as well as tangentially degenerate submanifolds of low dimensions is considered in [AGL]. Some examples of tangentially degenerate submanifolds can be also found in [A 87].

In this paper we apply the method of exterior forms and moving frames of Cartan [C 45] which was often successfully used in differential geometry.

# 1 The main results

Let  $X \subset P^N(\mathbf{C})$  be an  $n$ -dimensional smooth submanifold with a degenerate Gauss map  $\gamma : X \rightarrow G(n, N)$ ,  $\gamma(x) = T_x(X)$ ,  $x \in X$ . Suppose that  $\text{rank } \gamma = r < n$ . Denote by  $L$  a leave of this map,  $L = \gamma^{-1}(T_x) \subset X$ .

**Theorem 1** *A leave  $L$  of the Gauss map  $\gamma$  is a subspace of  $P^N$ ,  $\dim L = n - r = l$  or its open part.*

For the proof of this theorem see [AG 93] (p. 115, Theorem 4.1).

The foliation on  $X$  with leaves  $L$  is called the *Monge-Ampère foliation* (see, for example, [D 89] or [I 98, 99b]). In this paper, we extend the leaves of the Monge-Ampère foliation to a projective space  $P^l$  assuming that  $L \sim P^l$  is a plane generator of the submanifold  $X$ . As a result, we have  $X = f(P^l \times M^r)$ , where  $M^r$  is a parametric variety, and  $f$  is a differentiable map  $f : P^l \times M^r \rightarrow P^N$ .

However, unlike a traditional definition of the foliation (see for example, [DFN 85], §29), the leaves of the Monge-Ampère foliation have singularities. This is a reason that in general its leaves are not diffeomorphic to a standard leaf.

The tangent subspace  $T_x(X)$  is fixed when a point  $x$  moves along  $L$ . This is the reason that we denote it by  $T_L$ ,  $L \subset T_L$ . A pair  $(L, T_L)$  on  $X$  depends on  $r$  parameters.

With a second-order neighborhood of a pair  $(L, T_L)$ , two systems of square  $r \times r$  matrices  $B^\alpha = (b_{pq}^\alpha)$  and  $C_i = (c_{pi}^q)$ ,  $i = 0, 1, \dots, l$ ;  $p, q = l + 1, \dots, n$ ;  $\alpha = n + 1, \dots, N$ , are associated. The equation  $\det(C_i x^i) = 0$  defines the set of singular points  $x = (x^i) \in L$  of the map  $\gamma$ . This set is an algebraic hypersurface  $F_L \subset L$  of degree  $r$  which is called the *focus hypersurface*. The equation  $\det(\xi_\alpha B^\alpha) = 0$  defines the set of singular tangent hyperplanes  $\xi = (\xi_\alpha) \supset T_L$  of the map  $\gamma$ . This set is an algebraic hypercone  $\Phi_L$  with vertex  $T_L$  which is called the *focus hypercone*.

It appears that the products  $H_i^\alpha = B^\alpha C_i = (h_{ipq}^\alpha)$  are symmetric. They define on  $X$  the second fundamental forms  $h_i^\alpha = h_{ipq}^\alpha \theta^p \theta^q$ , where  $\theta^p$  are basis forms of the manifold  $M$ . We assume that *for all values of parameters  $u = (u^p) \in M$ , the system of forms  $h_i^\alpha$  is regular*, i.e., among them there is at least one nondegenerate quadratic form.

Denote by  $S_L$  the osculating subspace of  $X$  which is constant at all points  $x \in L$  of its generator  $L$ . Its dimension is  $\dim S_L = n + m$ , where  $m$  is the number of linearly independent second fundamental forms of  $X$ .

We assume that the conditions of all theorems in this paper are satisfied for all values of parameters  $u \in M$ .

**Theorem 2** *Suppose that  $l \geq 1$  and  $m \geq 2$ , and the focus hypersurfaces  $F_L$  and the focus hypercones  $\Phi_L$  do not have multiple components. Then the submanifolds  $X$  is foliated into  $r$  families of torse with  $l$ -dimensional plane generators  $L$ . Each of these families depends on  $r - 1$  parameters.*

For the definition of torse see Example 2 in Section 2. A manifold  $X$  described in Theorem 2 is called *torsal*.

**Theorem 3** Suppose that  $l \geq 2$ , and the focus hypersurfaces  $F_L$  do not have multiple components and are indecomposable. Then the submanifold  $X$  is a hypersurface of rank  $r$  in a subspace  $P^{n+1} \subset P^N$ .

**Theorem 4** Suppose that  $m \geq 2$ , and the focus hypercones  $\Phi_L$  do not have multiple components and are indecomposable. Then the submanifold  $X$  is a cone with an  $(l-1)$ -dimensional vertex and  $l$ -dimensional plane generators.

The system of matrices  $B^\alpha$  and  $C_i$  associated with a submanifold  $X$  is said to be *reducible* if these matrices can be simultaneously reduced to a block diagonal form:

$$C_i = \text{diag}(C_{i1}, \dots, C_{is}), \quad B^\alpha = \text{diag}(B_1^\alpha, \dots, B_s^\alpha), \quad (1)$$

where  $C_{it}$  and  $B_t^\alpha$ ,  $t = 1, \dots, s$ , are square matrices of orders  $r_t$ , and  $r_1 + r_2 + \dots + r_s = r$ . If such a decomposition of matrices is not possible, the system of matrices  $B^\alpha$  and  $C_i$  is called *irreducible*. If  $r_1 = r_2 = \dots = r_s = 1$ , then the system of matrices  $B^\alpha$  and  $C_i$  is called *completely reducible*.

A manifold  $X$  with a degenerate Gauss mapping is said to be *reducible*, *irreducible* or *completely reducible* if for any values of parameters  $u \in M$  the matrices  $B^\alpha$  and  $C_i$  are reducible, irreducible or completely reducible, respectively.

**Theorem 5** Suppose that a manifold  $X$  is reducible, and its matrices  $B_t^\alpha$  and  $C_{it}$  defined in (1) are of order  $r_t$ ,  $t = 1, \dots, s$ . Then  $X$  is foliated into  $s$  families of  $(l+r_t)$ -dimensional submanifolds of rank  $r_t$  with  $l$ -dimensional plane generators. For  $r_t = 1$ , these submanifolds are *torses*, and for  $r_t \geq 2$ , they are irreducible submanifolds described in Theorems 3 and 4.

## 2 Examples of submanifolds with degenerate Gauss maps

Consider a few examples of submanifolds with a degenerate Gauss map.

**Example 1** For  $r = 0$ , a submanifold  $X$  is an  $n$ -dimensional subspace  $P^n$ ,  $n < N$ . This submanifold is the only tangentially degenerate submanifold without singularities in  $P^N$ .

**Example 2** Let  $Y$  be a curve of class  $C^p$  in the space  $P^N$ . Suppose that  $P^N$  is a space of minimal dimension containing the curve  $Y$ . Denote by  $L_y$  the osculating subspace of order  $l$ ,  $l \leq p$ ,  $l \leq N-1$ , of the curve  $Y$  at a point  $y \in Y$ . Since  $\dim L_y = l$ , it follows that when a point  $y$  moves along the curve  $Y$ , the subspace  $L_y$  sweeps a submanifold  $X$  of dimension  $n = l+1$  in the space  $P^N$ . At any point  $x \in L_y$ , the tangent subspace  $T_x(X)$  coincides with the osculating subspace  $L'_y$  of order  $l+1$  of the curve  $Y$ ,  $\dim L'_y = l+1$ , and the focus hypersurface in  $L_y$  is the osculating subspace  $'L_y$  of order  $l-1$  and dimension  $l-1$  of the curve  $Y$ . Thus  $\dim X = l+1$ , and the manifold  $X$  is

tangentially degenerate of rank  $r = 1$ . Such a manifold  $X$  is called a *torse*. Conversely, a submanifold of dimension  $n$  and rank 1 is a torse formed by a family of osculating subspaces of order  $n - 1$  of a curve of class  $C^p$ ,  $p \geq n - 1$ , in the space  $P^N$ .

In what follows, unless otherwise stated, we always assume that  $r > 1$ .

**Example 3** Suppose that  $S$  is a subspace of the space  $P^N$ ,  $\dim S = l - 1$ , and  $T$  is its complementary subspace,  $\dim T = N - l$ ,  $T \cap S = \emptyset$ . Let  $Y$  be a smooth tangentially nondegenerate submanifold of the subspace  $T$ ,  $\dim Y = \text{rank } Y = r < N - l$ . Consider an  $r$ -parameter family of  $l$ -dimensional subspaces  $L_y = S \wedge y$ ,  $y \in Y$ . This manifold is a cone  $X$  with vertex  $S$  and the director manifold  $Y$ . The subspace  $T_x(X)$  tangent to the cone  $X$  at a point  $x \in L_y$  is defined by its vertex  $S$  and the subspace  $T_y(Y)$ ,  $T_x(X) = S \wedge T_y(Y)$ , and  $T_x(X)$  remains fixed when a point  $x$  moves in the subspace  $L_y$ . As a result, the cone  $X$  is a tangentially degenerate submanifold of dimension  $n = l + r$  and rank  $r$ , with plane generators  $L_y$  of dimension  $l$ .

**Example 4** Let  $T$  be a subspace of dimension  $n + 1$  in  $P^N$ , and let  $Y$  be an  $r$ -parameter family of hyperplanes  $\xi$  in general position in  $T$ ,  $r < n$ . Such a family has an  $n$ -dimensional envelope  $X$  that is a tangentially degenerate submanifold of dimension  $n$  and rank  $r$  in the subspace  $T$ . It is foliated into an  $r$ -parameter family of plane generators  $L$  of dimension  $l = n - r$  along which the tangent subspace  $T_x(X)$ ,  $x \in L$ , is fixed and coincides with a hyperplane  $\xi$  of the family in question. Thus  $X$  is a tangentially degenerate hypersurface of rank  $r$  with  $(n - r)$ -dimensional flat generators  $L$  in the space  $T$ .

**Example 5** If  $\text{rank } X = \dim X = n$ , then  $X$  is a submanifold of complete rank.  $X$  is also called a *tangentially nondegenerate* in the space  $P^N$ .

### 3 Application of the duality principle

By the duality principle, to a point  $x$  of a projective space  $P^N$ , there corresponds a hyperplane  $\xi$ . A set of hyperplanes of space  $P^N$  forms the dual projective space  $(P^N)^*$  of the same dimension  $N$ . Under this correspondence, to a subspace  $P$  of dimension  $p$ , there corresponds a subspace  $P^* \subset (P^N)^*$  of dimension  $N - p - 1$ . Under the dual map, the incidence of subspaces is preserved, that is, if  $P_1 \subset P_2$ , then  $P_1^* \supset P_2^*$ .

In the space  $P^N$ , if a point  $x$  describes a tangentially nondegenerate manifold  $X$ ,  $\dim X = r$ , then in general, the hyperplane  $\xi$  corresponding to  $x$  envelopes a hypersurface  $X^*$  of rank  $r$  with  $(N - r - 1)$ -dimensional generators. The dual map sends a smooth manifold  $X \subset P^N$  of dimension  $n$  and rank  $r$  with plane generators  $L$  of dimension  $l = n - r$  to a manifold  $X^*$  of dimension  $n^* = N - l - 1$  and the same rank  $r$  with plane generators  $L^*$  of dimension  $l^* = N - n - 1$ . Under

this map, to a tangent subspace  $T_x(X)$  of the submanifold  $X$  there corresponds the plane generator  $L^*$ , and to a plane generator  $L$  there corresponds the tangent subspace of the manifold  $X^*$ .

Note that Ein [E 85, 86] applied the duality principle for studying tangentially degenerate varieties with small dual varieties.

Let us determine which manifolds correspond to tangentially degenerate manifolds considered in Examples 2–4. To a cone  $X$  of rank  $r$  with vertex  $S$  of dimension  $l - 1$  (see Example 3), there corresponds a manifold  $X^*$  lying in the subspace  $T = S^*$ ,  $\dim T = N - l$ . Since  $\dim X^* = n^* = N - l - 1$ , the manifold  $X^*$  is a hypersurface of rank  $r$  in the subspace  $T$ . Such a hypersurface was considered in Example 4. It follows that Examples 3 and 4 are mutually dual one to another.

Suppose that the subspace  $T$  containing a hypersurface  $X$  of rank  $r$  coincides with the space  $P^N$ . Then under the dual map in  $T$ , to the hypersurface  $X$  there corresponds an  $r$ -dimensional tangentially nondegenerate manifold  $X^*$ .

Under the dual map, in  $P^N$  to torses (see Example 2) there correspond torses enveloping a one-parameter family of hyperplanes  $\eta$ —the images of points of the curve  $Y$ . These torses are of dimension  $N - 1$  and rank 1, and their plane generators are of dimension  $l = N - 2$ .

Note that the dual map allows us to construct tangentially degenerate manifolds in the space  $P^{n+1}$  from the general tangentially nondegenerate manifolds.

**Example 6** Consider a set of conics in a projective plane  $P^2$ . They are defined by the equation

$$a_{ij}x^i x^j = 0, \quad i, j = 0, 1, 2, \quad (2)$$

where the coefficients  $a_{ij}$  are defined up to a constant factor, and  $a_{ij} = a_{ji}$ . Thus to the curve (2) there corresponds a point of a five-dimensional projective space  $P^{5*}$  whose coordinates coincide with the coefficients of equation (2).

Among the conics defined by equation (2) there are singular curves which decompose into two straight lines. Such conics are distinguished by the condition

$$\det(a_{ij}) = 0. \quad (3)$$

Equation (3) is of degree three and defines a hypersurface in  $P^{5*}$  which is called the *cubic symmetroid*.

We prove now that *the hypersurface defined by equation (3) is tangentially degenerate of rank two and bears a two-parameter family of two-dimensional plane generators*. To this end, we write equation (3) in the form

$$F = \det \begin{pmatrix} a_{00} & a_{01} & a_{02} \\ a_{10} & a_{11} & a_{12} \\ a_{20} & a_{21} & a_{22} \end{pmatrix} = 0. \quad (4)$$

The equation of tangent subspaces of the hypersurface (4) has the form

$$\xi^{ij} a_{ij} = 0,$$

where  $\xi^{ij} = \frac{\partial F}{\partial a_{ij}}$ ,  $\xi^{ij} = \xi^{ji}$ , are the cofactors of the entries  $a_{ij}$  in the determinant in equation (4). The quantities  $\xi^{ij}$  are coordinates in the projective space  $P^5$ , which is dual to the space  $P^{5*}$  where we defined the cubic symmetroid (4).

Consider the symmetric matrix  $\xi = (\xi^{ij})$ . A straightforward computation shows that the rank of this matrix is equal to one. Thus the entries of the matrix  $\xi$  can be represented in the form

$$\xi^{ij} = \xi^i \xi^j, \quad (5)$$

where  $\xi^i$  are projective coordinates of a point in the projective plane  $P^2$ . But equations (5) define the Veronese surface in the space  $P^5$ . Since the Veronese surface is of dimension two, its points depend on two affine parameters  $u = \frac{\xi^1}{\xi^0}$  and  $v = \frac{\xi^2}{\xi^0}$ . As a result, the tangent hyperplanes of the cubic symmetroid (4) depend on two parameters, and this hypersurface is of rank two.

To the plane generators of the hypersurface (4), there correspond two-parameter families of conics in  $P^2$  decomposing into pairs of straight lines with a common intersection point.

Thus, *the cubic symmetroid (4) and the Veronese surface (5) are mutually dual submanifolds of a five-dimensional projective space.*

## 4 Basic equations and focal images

We study tangentially degenerate submanifolds applying the method of moving frames in a projective space  $P^N$ . In  $P^N$ , we consider a manifold of projective frames  $\{A_0, A_1, \dots, A_N\}$ . On this manifold

$$dA_u = \omega_u^v A_v, \quad u, v = 0, 1, \dots, N, \quad (6)$$

where the sum  $\omega_u^u = 0$ . The 1-forms  $\omega_u^v$  are linearly expressed in terms of the differentials of parameters of the group of projective transformations of the space  $P^N$ . The total number of these parameters is  $N^2 - 1$ . These 1-forms satisfy the structure equations

$$d\omega_u^v = \omega_u^w \wedge \omega_w^v \quad (7)$$

of the space  $P^N$  (see, for example, [AG 93], p. 19). Equations (7) are the conditions of complete integrability of equations (6).

Consider a tangentially degenerate submanifold  $X \subset P^N$ ,  $\dim X = n$ ,  $\text{rank } X = r \leq n$ . In addition, as above, let  $L$  be a rectilinear generator of the manifold  $X$ ,  $\dim L = l$ ; let  $T_L$ ,  $\dim T_L = n$ , be the tangent subspace to  $X$  along the generator  $L$ , and let  $M$  be a base manifold for  $X$ ,  $\dim M = r$ . Denote by  $\theta^p$ ,  $p = l + 1, \dots, n$ , basis forms on the variety  $M$ . These forms satisfy the structure equations

$$d\theta^p = \theta^q \wedge \theta_q^p, \quad p, q = l + 1, \dots, n, \quad (8)$$

of the variety  $M$ . Here  $\theta_q^p$  are 1-forms defining transformations of first-order frames on  $M$ .

For a point  $x \in L$ , we have  $dx \in T_L$ . With  $X$ , we associate a bundle of projective frames  $\{A_i, A_p, A_\alpha\}$  such that  $A_i \in L$ ,  $i = 0, 1, \dots, l$ ;  $A_p \in T_L$ ,  $p = l + 1, \dots, n$ . Then

$$\begin{cases} dA_i = \omega_i^j A_j + \omega_i^p A_p, \\ dA_p = \omega_p^i A_i + \omega_p^q A_q + \omega_p^\alpha A_\alpha, \quad \alpha = n + 1, \dots, N. \end{cases} \quad (9)$$

It follows from the first equation of (9) that

$$\omega_i^\alpha = 0. \quad (10)$$

Since for  $\theta^p = 0$  the subspaces  $L$  and  $T_L$  are fixed, we have

$$\omega_i^p = c_{qi}^p \theta^q, \quad \omega_p^\alpha = b_{qp}^\alpha \theta^q. \quad (11)$$

Since the manifold of leaves  $L \subset X$  depends on  $r$  essential parameters, the rank of the system of 1-forms  $\omega_i^p$  is equal to  $r$ ,  $\text{rank } (\omega_i^p) = r$ . Similarly, we have  $\text{rank } (\omega_p^\alpha) = r$ .

Denote by  $C_i$  and  $B^\alpha$  the  $r \times r$  matrices occurring in equations (11):

$$C_i = (c_{qi}^p), \quad B^\alpha = (b_{qp}^\alpha).$$

These matrices are defined in a second-order neighborhood of the submanifold  $X$ .

Exterior differentiation of equations (10) by means of structure equations (7) leads to the exterior quadratic equations

$$\omega_p^\alpha \wedge \omega_i^p = 0.$$

Substituting expansions (11) into the last equations, we find that

$$b_{qs}^\alpha c_{pi}^s = b_{ps}^\alpha c_{qi}^s. \quad (12)$$

Equations (10), (11), and (12) are called the *basic equations* in the theory of tangentially degenerate submanifolds.

Relations (12) can be written in the matrix form

$$(B^\alpha C_i)^T = (B^\alpha C_i),$$

i.e., the matrices

$$H_i^\alpha = B^\alpha C_i = (b_{qs}^\alpha c_{pi}^s)$$

are symmetric.

Let  $x = x^i A_i$  be an arbitrary point of a leaf  $L$ . For such a point we have

$$dx = (dx^i + x^j \omega_j^i) A_i + x^i \omega_i^p A_p.$$

It follows that

$$dx \equiv (A_p c_{qi}^p x^i) \theta^q \pmod{L}.$$

The tangent subspace  $T_x$  to the manifold  $X$  at a point  $x$  is defined by the points  $A_i$  and

$$\tilde{A}_q(x) = A_p c_{qi}^p x^i,$$

and therefore  $T_x \subset T_L$ .

A point  $x$  is a *regular* point of a leaf  $L$  if  $T_x = T_L$ . Regular points are determined by the condition

$$J(x) = \det(c_{pi}^q x^i) \neq 0. \quad (13)$$

If  $J(x) = 0$  at a point  $x$ , then  $T_x$  is a proper subspace of  $T_L$ , and a point  $x$  is said to be a *singular point* of a leaf  $L$ .

The determinant (13) is the Jacobian of the map  $f : P^l \times M^r \rightarrow P^N$ . Singular points of a leaf  $L$  are determined by the condition  $J(x) = 0$ . In a leaf  $L$ , they form an algebraic submanifold of dimension  $l - 1$  and degree  $r$ . This hypersurface (in  $L$ ) is called the *focus hypersurface* and is denoted by  $F_L$ . By (13), the equations  $J(x) = 0$  of the focus hypersurface on the plane generator  $L$  of the manifold  $X$  can be written as

$$\det(c_{pi}^q x^i) = 0. \quad (14)$$

We calculate now the second differential of a point  $x \in L$ :

$$d^2x \equiv A_\alpha \omega_s^\alpha \omega_i^s x^i \pmod{T_x}.$$

This expression is the *second fundamental quadratic form* of the manifold  $X$ :

$$II_x = A_\alpha \omega_s^\alpha \omega_i^s x^i = A_\alpha b_{ps}^\alpha c_{qi}^s x^i \theta^p \theta^q. \quad (15)$$

Suppose that  $\xi = \xi_\alpha x^\alpha = 0$  is the tangent hyperplane to  $X$  at  $x \in L$ ,  $\xi \supset T_x$ . Then

$$(\xi, II_x) = h_{pq}(\xi, x) \theta^p \theta^q,$$

where

$$h_{pq}(\xi, x) = \xi_\alpha b_{ps}^\alpha c_{qi}^s x^i, \quad h_{pq} = h_{qp},$$

is the *second fundamental quadratic form* of the manifold  $X$  at  $x$  with respect to the hyperplane  $\xi$ . Since at regular points  $x \in L$  condition (13) holds, the rank of this matrix is the same as the rank of the matrix

$$B(\xi) = (\xi_\alpha b_{pq}^\alpha) = \xi_\alpha B^\alpha, \quad (16)$$

and this rank is the same at all regular points  $x \in L$ .

We call a tangent hyperplane  $\xi$  *singular* if

$$\det(\xi_\alpha b_{pq}^\alpha) = 0, \quad (17)$$

i.e., if the rank of the matrix (16) is reduced. Condition (17) is an equation of degree  $r$  with respect to the tangential coordinates  $\xi_\alpha$  of the hyperplane  $\xi$ . This condition defines an algebraic hypercone whose vertex is the tangent subspace  $T_L$ . This hypercone is called the *focus hypercone* and denoted by  $\Phi_L$  (see [AG 93], p. 119).

The determinant  $\det(\xi_\alpha b_{pq}^\alpha)$  in the left-hand side of equation (17) is the Jacobian of the dual map  $f^*: L^* \times M^r \rightarrow (P^N)^*$ ,  $f^*(L^* \times M^r) = X^* \subset (P^N)^*$ , where  $X^*$  is a manifold dual to  $X$  and  $L^*$  is a bundle of hyperplanes of the space  $P^N$  passing through the tangent subspace  $T_L$  of the manifold  $X$ ,  $\dim L^* = N - n - 1$ .

The focus hypersurface  $F_L \subset L$  and the focus hypercone  $\Phi_L$  with vertex  $T_L$  are called *focal images* of the manifold  $X$  with a degenerate Gauss map.

Note that under the passage from the manifold  $X \subset P^N$  to its dual manifold  $X^* \subset (P^N)^*$ , the systems of square matrices  $C_i$  and  $B^\alpha$  as well as the focus hypersurfaces  $F_L$  and the focus cones  $\Phi_L$  exchange their roles.

Since

$$d^2x \equiv A_\alpha b_{qs}^\alpha c_{pi}^s x^i \theta^p \theta^q \pmod{T_L}, \quad (mod \ T_L, x \in L),$$

the points

$$A_{pq} = A_\alpha b_{qs}^\alpha c_{pi}^s x^i, \quad A_{pq} = A_{qp}, \quad (18)$$

together with the points  $A_i$  and  $A_p$  define the osculating subspace  $T_L^2(X)$ . Its dimension is

$$\dim T_L^2(X) = n + m,$$

where  $m$  is the number of linearly independent points among the points  $A_{pq}$ ,  $m \leq \frac{r(r+1)}{2}$ . But since at a regular point  $x \in L$  condition (13) holds, the number  $m$  is the number of linearly independent points among the points

$$\tilde{A}_{pq} = A_\alpha b_{pq}^\alpha.$$

We also use the notation  $S_L$  for the osculating space  $T_L^2(X)$ .

On a generator  $L$  of the manifold  $X$ , consider the system of equations

$$c_{pi}^q x^i = 0. \quad (19)$$

Its matrix  $C = (c_{pi}^q)$  has  $r^2$  rows and  $l + 1$  columns. Denote the rank of this matrix by  $m^*$ . If  $m^* < l + 1$ , then system (19) defines a subspace  $K_L$  of dimension  $k = l - m^*$  in  $L$ . This subspace belongs to the focus hypersurface  $F_L$  defined by equation (14). If  $l > m^*$ , then the hypersurface  $F_L$  becomes a cone with vertex  $K_L$ . We call the subspace  $K_L$  the *characteristic subspace* of the generator  $L$ .

Note also that by the duality principle in  $P^N$ , the osculating subspace  $S_L$  and the characteristic subspace  $K_L$  constructed for a pair  $(L, T_L)$  correspond one to another.

## 5 Proof of Theorem 2

**Lemma 6** Suppose that  $l \geq 1$ , and the focus hypersurface  $F_L \subset L$  does not have multiple components. Then all matrices  $B^\alpha$  can be simultaneously diagonalized,  $B^\alpha = (\text{diag } b_{pp}^\alpha)$ , and the focus hypercone  $\Phi_L$  decomposes into  $r$  bundles of hyperplanes  $\Phi_p$  in  $P^N$  whose axes are  $(n+1)$ -planes  $T_L \wedge B_p$ , where  $B_p = b_{pp}^\alpha A_\alpha$  are points located outside of the tangent subspace  $T_L$ . The dimension  $n + m$  of the osculating subspace  $S_L$  of the manifold  $X$  along a generator  $L$  does not exceed  $n + r$ .

**Proof.** Since the hypersurface  $F_L \subset L$  does not have multiple components, a general straight line  $\lambda$  lying in  $L$  intersects  $F_L$  at  $p$  distinct points. We place the vertices  $A_0$  and  $A_1$  of our moving frame onto the line  $\lambda$ . By (14), the coordinates of the common points of  $\lambda$  and  $F_L$  are defined by the equation

$$\det(c_{p0}^q x^0 + c_{p1}^q x^1) = 0.$$

Suppose that  $A_0 \notin F_L$ . Then  $\det(c_{p0}^q) \neq 0$ , and it is easy to prove that the matrices  $C_0$  and  $C_1$  can be simultaneously diagonalized,  $C_0 = (\delta_q^p)$  and  $C_1 = (\text{diag } c_{p1}^q)$ . Since the common points of  $\lambda$  and  $F$  are not multiple points, we have  $c_{p1}^p \neq c_{q1}^q$  for  $p \neq q$ .

Next we write equations (12) for  $i = 0, 1$ :

$$b_{pq}^\alpha = b_{qp}^\alpha, \quad b_{qp}^\alpha c_{p1}^q = b_{pq}^\alpha c_{q1}^q.$$

Since  $c_{p1}^p \neq c_{q1}^q$ , it follows that  $b_{pq}^\alpha = 0$  for  $p \neq q$ . As a result, all matrices  $B^\alpha$  can be simultaneously diagonalized,  $B^\alpha = (\text{diag } b_{pp}^\alpha)$ . Equation (17) takes the form

$$\prod_p (\xi_\alpha b_{pp}^\alpha) = 0,$$

and the focus hypercone  $\Phi_L$  decomposes into  $r$  bundles of hyperplanes  $\Phi_p$  in  $P^N$  whose axes are  $(n+1)$ -planes  $T_L \wedge B_p$ , where  $B_p = b_{pp}^\alpha A_\alpha$  are points located outside of the tangent subspace  $T_L$ . The osculating subspace  $S_L$  of the manifold  $X$  along a generator  $L$  is the span of the tangent subspace  $T_L$  and the points  $B_{l+1}, \dots, B_n$ . Thus, the dimension of this subspace does not exceed  $n + r$ . ■

**Lemma 7** Suppose that  $l \geq 1$ ,  $m \geq 2$ , the focus hypersurfaces  $F_L \subset L$  do not have multiple components, and all the bundles  $\Phi_p$  into which the hypercone  $\Phi_L$  decomposes are of multiplicity one. Then the focus hypersurface  $F_L$  decomposes into  $r$  hyperplanes  $F_p \subset L$ .

**Proof.** Consider the matrix

$$B = (b_{pp}^\alpha) \tag{20}$$

composed from the eigenvalues of the matrices  $B^\alpha$ . Matrix (20) has  $r$  columns and  $m$  independent rows,  $m \leq r$ . Write equations (12) for the diagonal matrices  $B^\alpha$ :

$$b_{qq}^\alpha c_{pi}^q = b_{pp}^\alpha c_{qi}^p. \tag{21}$$

Since the matrix  $B$  has  $m$  linearly independent columns and  $m \geq 2$ , it follows from equation (21) that  $c_{pi}^q = 0$  for  $p \neq q$ , and all the matrices  $C_i$  and  $B^\alpha$  can be simultaneously diagonalized. Now the equation of the focus hypersurface  $F_L$  takes the form

$$\prod_{p=l+1}^n c_{pi}^p x^i = 0,$$

and the hypersurface  $F_L$  decomposes into  $r$  hyperplanes  $F_p$  defined in  $L$  by the equation  $c_{pi}^p x^i = 0$ . Since by the conditions of Theorem 2, the focus hypersurface  $F_L$  does not have multiple components, all hyperplanes  $F_p$  are distinct. ■

Consider a rectangular  $r \times (l+1)$  matrix

$$C = (c_{pi}^p) \quad (22)$$

formed by the eigenvalues of the matrix  $C_i$ .

**Proof of Theorem 2.** From the conditions of Theorem 2 and Lemmas 6 and 7, it follows that the matrices  $C_i$  and  $B^\alpha$  can be simultaneously diagonalized:

$$C_i = \text{diag}(c_{l+1,i}^{l+1}, \dots, c_{ni}^n), \quad B^\alpha = \text{diag}(b_{l+1,l+1}^\alpha, \dots, b_{nn}^\alpha).$$

This implies that formulas (11) take the form:

$$\omega_i^p = c_{pi}^p \theta^p, \quad \omega_p^\alpha = b_{pp}^\alpha \theta^p, \quad (23)$$

where  $\theta^p$  are basis forms on the parametric variety  $M$ , and there is no summation over the index  $p$ . Exterior differentiation of equations (23) leads to the following exterior quadratic equations:

$$\nabla c_{pi}^p \wedge \theta^p + c_{pi}^p d\theta^p + \sum_{q \neq p} c_{qi}^q \omega_q^p \wedge \theta^q = 0, \quad (24)$$

$$\nabla b_{pp}^\alpha \wedge \theta^p + b_{pp}^\alpha d\theta^p - \sum_{q \neq p} b_{qq}^\alpha \omega_p^q \wedge \theta^q = 0, \quad (25)$$

where

$$\nabla c_{pi}^p = dc_{pi}^p - c_{pj}^p \omega_i^j + c_{pi}^p \omega_p^p, \quad \nabla b_{pp}^\alpha = db_{pp}^\alpha + b_{pp}^\beta \omega_\beta^\alpha - b_{pp}^\alpha \omega_p^p.$$

Suppose now that at least one of the matrices  $B$  and  $C$  defined by equations (20) and (22) has mutually linearly independent columns. Then it follows from equations (24) and (25) that

$$\begin{cases} d\theta^p \equiv 0 \pmod{\theta^p}, \\ \omega_q^p \wedge \theta^q \equiv 0 \pmod{\theta^p}, \\ \omega_p^q \wedge \theta^q \equiv 0 \pmod{\theta^p}. \end{cases}$$

This implies that

$$d\theta^p = \theta^p \wedge \theta_p^p, \quad \omega_p^q = l_{pp}^q \theta^p + l_{pq}^q \theta^q. \quad (26)$$

We write the expression of  $dA_p$  from (9) in more detail:

$$dA_p = \omega_p^i A_i + \omega_p^p A_p + \sum_{q \neq p} \omega_p^q A_q + \omega_p^\alpha A_\alpha. \quad (27)$$

Let the index  $p$  be fixed and  $q \neq p; p, q = l+1, \dots, n$ . Consider a submanifold  $X_p \subset X$  defined by the equations

$$\theta^q = 0, \quad q \neq p. \quad (28)$$

The first equation of (26) implies that  $\dim X_p = 1$ . By (28), (9) and (27), we find that

$$dA_i = \omega_i^j A_j + c_{pi}^p \theta^p A_p, \quad (29)$$

$$dA_p = \omega_p^i A_i + \omega_p^p A_p + B_p \theta^p, \quad (30)$$

where  $B_p = \sum_{q \neq p} l_{pq}^q A_q + b_{pp}^\alpha A_\alpha$ . Since the points  $A_i$  are basis points of a generator  $L$  of the manifold  $X$ , equations (29) and (30) prove that the subspace  $L \wedge A_p$  is tangent to the submanifold  $X_p$  at all regular points of the generator  $L$ , and the subspace  $L \wedge A_p \wedge B_p$  is the osculating subspace of  $X_p$ . Singular points of a generator  $L$  of the manifold  $X_p$  are determined by the equations  $c_{pi}^p x^i = 0$  and form a hyperplane in  $L$  (see Example 2 in Section 2). Thus, the submanifold  $X_p$  is a torse with  $l$ -dimensional plane generators. Hence the manifold  $X$  is foliated into  $r$  families of torses, each of these families depends on  $r - 1$  parameters  $u^q$ , and the forms  $\theta^q$  are expressed in terms of the differentials of these parameters,  $\theta^q = l^q du^q$ . ■

## 6 Proof of Theorems 3 and 4

**Lemma 8** Suppose that  $l \geq 2$ , the focus hypersurfaces  $F_L \subset L$  do not have multiple components and are indecomposable. Then the hypercone  $\Phi_L$  is an  $r$ -multiple bundle of hyperplanes with an  $(n+1)$ -dimensional vertex in  $P^N$ .

**Proof.** From the conditions of the lemma and equation (21) it follows that the columns of the matrix  $B$  are linearly dependent, and thus all points  $B_p = b_{pp}^\alpha A_\alpha$  defined by the columns of this matrix lie in a subspace of dimension  $n+1$  defined by the tangent subspace  $T_L$  and one of the points  $B_p$ .

Therefore all bundles of hyperplanes  $\Phi_p$  into which the hypercone  $\Phi_L$  decomposes coincide. ■

We formulate the lemma which is dual to Lemma 8.

**Lemma 9** Suppose that  $m \geq 2$  and the focus hypercones  $\Phi_L$  with their vertices  $T_L$  do not have multiple components and are indecomposable. Then the focus hypersurface  $F_L \subset L$  is an  $r$ -multiple hyperplane in  $L$ .

**Proof of Theorem 3.** From the conditions of Theorem 3 and Lemma 8, it follows that all pairs of columns of the matrix  $B$  are linearly dependent. Thus

all matrices  $B^\alpha$  associated with  $X$  are mutually linearly dependent. Hence, we have

$$b_{pq}^\alpha = b^\alpha b_{pq}. \quad (31)$$

Now conditions (12) take the form

$$b_{ps}c_{qi}^s = b_{qs}c_{pi}^s. \quad (32)$$

Although the matrix  $B = (b_{pq})$  is still can be diagonalized, in general, the matrices  $C_i$  do not possess this property. Thus we write equations (11) in the form

$$\omega_i^p = c_{qi}^p \theta^q, \quad \omega_p^\alpha = b^\alpha b_{pq} \theta^q. \quad (33)$$

Exterior differentiation of equations (33) and applying structure equations (7) of the parametric variety  $M$ , we obtain the following exterior quadratic equations:

$$\nabla c_{qi}^p \wedge \theta^q = 0, \quad (b_{pq} \nabla b^\alpha + b^\alpha \nabla b_{pq}) \wedge \theta^q = 0, \quad (34)$$

where

$$\begin{cases} \nabla c_{qi}^p = dc_{qi}^p - c_{qj}^p \omega_i^j + c_{qi}^s \omega_s^p - c_{si}^p \theta_p^s, \\ \nabla b^\alpha = db^\alpha + b^\beta \omega_\beta^\alpha, \\ \nabla b_{pq} = db_{pq} - b_{sq} \omega_p^s - b_{ps} \theta_q^s. \end{cases}$$

As we noted already in the proof of Theorem 2, if the point  $A_0$  does not belong to the focus hypersurface  $F_L$  of a generator  $L$ , then the matrix  $(c_{q0}^p)$  is nonsingular, and by means of a frame transformation in the tangent space  $T_u(M)$  to the variety  $M$  we can reduce this matrix to the form  $(c_{q0}^p) = (\delta_q^p)$ . As a result, equation (32) corresponding to  $i = 0$  takes the form

$$b_{pq} = b_{qp}. \quad (35)$$

Hence the matrix  $B = (b_{pq})$  becomes symmetric. This matrix is the matrix of the second fundamental form  $II$  of the manifold  $X$  at the point  $A_0$ . Since  $A_0$  is a regular point of  $X$ , the matrix  $B$  is nonsingular,  $\det(b_{pq}) \neq 0$ .

Next, we find from the second equation of (34) that

$$\nabla b^\alpha = b_p^\alpha \theta^p, \quad \nabla b_{pq} = b_{pq} \theta^s.$$

Substituting these expansions into equation (34) and equating to 0 the coefficients in independent products  $\theta^s \wedge \theta^q$ , we find that

$$b_{pq} b_s^\alpha - b_{ps} b_q^\alpha + b^\alpha (b_{pq} - b_{ps}) = 0.$$

Contracting these equations with the matrix  $B^{-1} = (b^{pq})$  which is the inverse of  $B$ , we find that

$$(r - 1)b_s^\alpha + b^\alpha (b_{pq} - b_{ps}) b^{pq} = 0.$$

Since by the theorem hypothesis  $r \neq 1$ , it follows that

$$b_s^\alpha = b^\alpha b_s, \quad (36)$$

where

$$b_s = \frac{1}{r-1} (b_{pq} - b_{psq}) b^{pq}.$$

Next consider equations (17) of the focus hypercone  $\Phi_L$  of the manifold  $X$ . By (31), this equation becomes

$$(b^\alpha \xi_\alpha)^r \det(b_{pq}) = 0,$$

and since  $\det(b_{pq}) \neq 0$ , the hypercone  $\Phi_L$  becomes an  $r$ -multiple bundle of hyperplanes. The axis of this bundle is a subspace of dimension  $n+1$  which is the span of the tangent subspace  $T$  and the point  $b^\alpha A_\alpha$ .

Let us prove that if the parameters  $u$  on the variety  $M$  vary, this subspace is fixed. In fact, the basis points of the subspace  $T$  are the points  $A_i$  and  $A_p$ , and by (9) and (36), we have

$$\begin{cases} dA_i = \omega_i^j A_j + \omega_i^p A_p, \\ dA_p = \omega_p^i A_i + \omega_p^q A_q + b_{pq} \theta^q \cdot b^\alpha A_\alpha. \end{cases}$$

If we differentiate the point  $b^\alpha A_\alpha$  and apply equation (36), we find that

$$d(b^\alpha A_\alpha) \equiv b_s \theta^s \cdot b^\alpha A_\alpha \pmod{A_i, A_p}.$$

This proves our last assertion.

Thus the subspace  $P^{n+1} = T \wedge b^\alpha A_\alpha$  is fixed when the tangent subspace  $T$  moves along  $X$ , and  $X$  is a hypersurface in the subspace  $P^{n+1}$ . ■

**Proof of Theorem 4.** Theorem 4 is dual to Theorem 3 and can be proved by applying Lemma 9 in the same way as we used Lemma 8 to prove Theorem 3. ■

## 7 Proof of Theorem 5

Equations (14) and (17) of the focal images imply the following lemma.

**Lemma 10** *If the matrices  $C_i$  and  $B^\alpha$  of a manifold  $X$  can be reduced to the form (1), then each of its focus hypersurfaces  $F_L \subset L$  decomposes into  $s$  components  $F_t$  of dimension  $l-1$  and degree  $r_1, r_2, \dots, r_s$ , and each of its focus hypercones  $\Phi_L$  decomposes into  $s$  hypercones  $\Phi_t$  of the same degrees  $r_1, r_2, \dots, r_s; r_1 + r_2 + \dots + r_s = r$ , and with the same vertex  $T$ . In particular, if  $r_1 = r_2 = \dots = r_s = 1$ , then a focus hypersurface  $F_L$  decomposes into  $r$  hyperplanes, and a focus hypercone  $\Phi_L$  decomposes into  $r$  bundles of hyperplanes with  $(n+1)$ -dimensional axes.*

**Proof of Theorem 5.**

We prove Theorem 5 assuming that the index  $t$  takes only two values,  $t = 1, 2$ ,  $r = r_1 + r_2$ , and the indices  $p$  and  $q$  have the following values:

$$p_1, q_1 = l+1, \dots, l+r_1, \quad p_2, q_2 = l+r_1+1, \dots, n.$$

Then equations (11) become

$$\begin{cases} \omega_i^{p_1} = c_{q_1 i}^{p_1} \theta^{q_1}, & \omega_{p_1}^\alpha = b_{p_1 q_1}^\alpha \theta^{q_1}, \\ \omega_i^{p_2} = c_{q_2 i}^{p_2} \theta^{q_2}, & \omega_{p_2}^\alpha = b_{p_2 q_2}^\alpha \theta^{q_2}. \end{cases} \quad (37)$$

Exterior differentiation of equations (37) gives

$$\nabla c_{q_1 i}^{p_1} \wedge \theta^{q_1} + (c_{q_2 i}^{s_2} \omega_{s_2}^{p_1} - c_{s_1 i}^{p_1} \theta_{q_2}^{s_1}) \wedge \theta^{q_2} = 0, \quad (38)$$

$$\nabla b_{p_1 q_1}^\alpha \wedge \theta^{q_1} - (b_{s_2 q_2}^\alpha \omega_{s_2}^{p_1} + b_{p_1 s_1}^\alpha \theta_{q_2}^{s_1}) \wedge \theta^{q_2} = 0, \quad (39)$$

$$\nabla c_{q_2 i}^{p_2} \wedge \theta^{q_2} + (c_{q_1 i}^{s_1} \omega_{s_1}^{p_2} - c_{s_2 i}^{p_2} \theta_{q_1}^{s_2}) \wedge \theta^{q_1} = 0, \quad (40)$$

$$\nabla b_{p_2 q_2}^\alpha \wedge \theta^{q_2} - (b_{s_1 q_1}^\alpha \omega_{p_2}^{s_1} + b_{p_2 s_2}^\alpha \theta_{q_1}^{s_2}) \wedge \theta^{q_1} = 0, \quad (41)$$

where

$$\begin{cases} \nabla c_{q_1 i}^{p_1} = dc_{q_1 i}^{p_1} - c_{q_1 j}^{p_1} \omega_i^j + c_{q_1 i}^{s_1} \omega_{s_1}^{p_1} - c_{s_1 i}^{p_1} \theta_{q_1}^{s_1}, \\ \nabla b_{p_1 q_1}^\alpha = db_{p_1 q_1}^\alpha + b_{p_1 q_1}^\beta \omega_\beta^\alpha - b_{s_1 q_1}^\alpha \omega_{p_1}^{s_1} - b_{p_1 s_1}^\alpha \theta_{q_1}^{s_1}, \\ \nabla c_{q_2 i}^{p_2} = dc_{q_2 i}^{p_2} - c_{q_2 j}^{p_2} \omega_i^j + c_{q_2 i}^{s_2} \omega_{s_2}^{p_2} - c_{s_2 i}^{p_2} \theta_{q_2}^{s_2}, \\ \nabla b_{p_2 q_2}^\alpha = db_{p_2 q_2}^\alpha + b_{s_2 q_2}^\beta \omega_\beta^\alpha - b_{s_2 q_2}^\alpha \omega_{p_2}^{s_2} - b_{p_2 s_2}^\alpha \theta_{q_2}^{s_2}. \end{cases}$$

Consider the system of equations

$$\theta^{q_1} = 0 \quad (42)$$

on the manifold  $X$ . By (8), its exterior differentiation gives

$$\theta^{q_2} \wedge \theta_{q_2}^{q_1} = 0. \quad (43)$$

It follows from (43) that the conditions of complete integrability of equations (42) have the form

$$\theta_{q_2}^{q_1} = l_{q_2 s_2}^{q_1} \theta^{s_2}, \quad (44)$$

where  $l_{q_2 s_2}^{q_1} = l_{s_2 q_2}^{q_1}$ .

By equations (42), the system of equations (38) takes the form

$$(c_{q_2 i}^{s_2} \omega_{s_2}^{p_1} - c_{s_1 i}^{p_1} \theta_{q_2}^{s_1}) \wedge \theta^{q_2} = 0. \quad (45)$$

Suppose that the component  $F_1$  of the focus hypersurface  $F_L$  does not have multiple components. Assuming that  $l \geq 1$ , we write equations (45) for two different values of the index  $i$ , for example, for  $i = 0, 1$ . Since the matrices  $(c_{s_1 i}^{p_1})$  and  $(c_{s_2 i}^{p_2})$  are not proportional, then it follows from (45) that two terms occurring in (45) vanish separately. In particular, this means that

$$c_{s_1 i}^{p_1} \theta_{q_2}^{s_1} \wedge \theta^{q_2} = 0. \quad (46)$$

Since the number of linearly independent forms among the 1-forms  $\omega_i^{p_1}$  connected with the basis forms by relations (47) is equal to the number of linearly independent forms  $\theta^{q_1}$  (i.e., it is equal  $r_1$ ), then it follows from (45) that

$$\theta_{q_2}^{s_1} \wedge \theta^{q_2} = 0.$$

But the last equations coincide with equations (43) and are conditions of complete integrability of (42). Thus the manifold  $X$  is foliated into an  $r_1$ -parameter family of submanifolds of dimension  $l+r_2$  and of rank  $r_2$ , and these submanifolds belong to the types described in Theorems 2 or 3.

In a similar way, one can prove the complete integrability of equations  $\theta^{q_2} = 0$  on the manifold  $X$ . Thus the manifold  $X$  is foliated also into an  $r_2$ -parameter family of submanifolds of dimension  $l+r_1$  and of rank  $r_1$ .

By induction over  $s$ , we can prove the result, which we have proved for  $s = 2$  components, for the case of any number  $s$  of components. ■

Thus, Theorem 5 describes the structure of tangentially degenerate manifolds of general types. As a result, this theorem is a *structure theorem* for such manifolds.

Note that the torsal manifolds described in Theorem 2 are completely reducible, and the manifolds  $X$  described in Theorems 3 and 4 are irreducible manifolds.

Note that Theorem 5 does not cover tangentially degenerate submanifolds with multiple nonlinear components of their focal images. This gives rise to the following problem.

**Problem.** *Construct an example of a submanifold  $X \subset P^N(\mathbf{C})$  with a degenerate Gauss map whose focal images have multiple nonlinear components or prove that such submanifolds do not exist.*

## 8 Additional results

In conclusion we prove two additional theorems.

**Theorem 11** *Let  $X \subset P^N$  be a tangentially degenerate submanifold of dimension  $n$  and rank  $r < n$ . Suppose that all matrices  $B^\alpha$  can be simultaneously diagonalized,  $B^\alpha = \text{diag}(b_{l+1,l+1}^\alpha, \dots, b_{nn}^\alpha)$ . Suppose also that the rectangular matrix  $B$  (defined by (20)) composed from the eigenvalues of the matrices  $B^\alpha$  has a rank  $r_1 \leq r - 1$ , and this rank does not reduce when we delete any column of this matrix. Then the submanifold  $X$  belongs to a subspace  $P^{n+r_1}$  of the space  $P^N$ .*

**Proof.** Under the conditions of Theorem 11, the second group of equations (11) takes the form

$$\omega_p^\alpha = b_{pp}^\alpha \theta^p, \quad p = l + 1, \dots, n, \quad \alpha = n + 1, \dots, N. \quad (47)$$

The matrix  $B$  has only  $r_1$  linearly independent rows. Thus by means of transformations of moving frame's vertices located outside of the tangent subspace  $T_L$ , equations (47) can be reduced to the form

$$\omega_p^\lambda = b_{pp}^\lambda \theta^p, \quad \omega_p^\sigma = 0, \quad (48)$$

where  $\lambda = n + 1, \dots, n + r_1$ ,  $\sigma = n + r_1 + 1, \dots, N$ . The second group of equations (9) takes the form

$$dA_p = \omega_p^i A_i + \omega_p^q A_q + \omega_p^\lambda A_\lambda,$$

and the points  $A_\lambda$  together with the points  $A_i$  and  $A_q$  define the osculating subspace  $S_L$  of the submanifold  $X$  for all points  $x \in L$ . The dimension of  $S_L$  is  $n + r_1$ ,  $\dim S_L = n + r_1$ .

Differentiation of the points  $A_\lambda$  gives

$$dA_\lambda = \omega_\lambda^i A_i + \omega_\lambda^p A_p + \omega_\lambda^\mu A_\mu + \omega_\lambda^\sigma A_\sigma, \quad (49)$$

where  $\lambda, \mu = n + 1, \dots, n + r_1$ ;  $\sigma = n + r_1 + 1, \dots, N$ . If  $\theta^p = 0$ , then the osculating subspace  $S_L$  of  $X$  remains fixed. It follows from equations (49) that the 1-forms  $\omega_\lambda^\rho$  are expressed in terms of the basis forms  $\theta^p$  of  $X$ , that is,

$$\omega_\lambda^\rho = l_{\lambda p}^\rho \theta^p. \quad (50)$$

Taking exterior derivatives of the second group of equations (48), we find that

$$\omega_p^\lambda \wedge \omega_\lambda^\rho = 0. \quad (51)$$

Substituting the values of the 1-forms  $\omega_p^\lambda$  and  $\omega_\lambda^\rho$  from equations (48) and (50) into equation (51), we find that

$$b_{pp}^\lambda \theta^p \wedge l_{\lambda q}^\rho \theta^q = 0.$$

In this equation the summation is carried over the indices  $\lambda$  and  $q$ , but there is no summation over the index  $p$ . It follows from these equations that

$$b_{pp}^\lambda l_{\lambda q}^\rho = 0, \quad p \neq q. \quad (52)$$

System (52) is a system of linear homogeneous system with respect to the unknown variables  $l_{\lambda q}^\rho$ . For each pair of the values  $\rho$  and  $q$ , system (52) has the rank  $r - 1$  and  $r_1$  unknowns. Since  $r_1 \leq r - 1$ , under the conditions of Theorem 11, the rank of the matrix of coefficients of this system is equal  $r_1$ . As a result, the system has only the trivial solution  $l_{\lambda q}^\rho = 0$ . Thus equations (50) take the form

$$\omega_p^\lambda = 0. \quad (53)$$

It follows from (49) and (53) that the osculating subspace  $S_L$  of  $X$  remains fixed when  $L$  moves in  $X$ . Thus  $X \subset P^{n+r_1}$ . ■

**Remark.** If  $r_1 = r$  and  $N > n + r$ , then the osculating subspace  $S_L$  of  $X$  can move in  $P^N$  when  $L$  moves in  $X$ . In this case the submanifold  $X$  is torsal.

Theorem 11 is similar to Theorem 3.10 from the book [AG 93] and was proved in [AG 93] for submanifolds of a space  $P^N$  bearing a net of conjugate lines. Note that Theorem 3.10 from [AG 93] generalizes a similar theorem of C. Segre (see [Se 07], p. 571) proved for submanifolds  $X$  of dimension  $n$  of the space  $P^N$  which has at each point  $x \in X$  the osculating subspace  $S_x$  of dimension  $n + 1$ . By this theorem, a submanifold  $X$  either belongs to a subspace  $P^{n+1}$  or is a torse.

The theorem dual to Theorem 11 is also valid.

**Theorem 12** Let  $X \subset P^N$  be a tangentially degenerate submanifold of dimension  $n$  and rank  $r < n$ . Suppose that all matrices  $C_i$  can be simultaneously diagonalized,  $C_i = \text{diag}(c_{l+1,i}^{l+1}, \dots, c_{ni}^n)$ . Suppose also that the rectangular matrix  $C = (c_{pi}^p)$  composed from the eigenvalues of the matrices  $C_i$  has a rank  $r_2 \leq r - 1$ , and this rank is not reduced when we delete any column of this matrix. Then the submanifold  $X$  is a cone with an  $(l - r_2)$ -dimensional vertex  $K_L$ .

Proof. The proof of this theorem is similar to the proof of Theorem 11. ■

## References

- [A 57] Akivis, M. A., *Focal images of a surface of rank r*, (Russian) Izv. Vyssh. Uchebn. Zaved. Mat. **1957**, no. 1, 9–19.
- [A 62] Akivis, M. A., *On a class of tangentially degenerate surfaces*, (Russian) Dokl. Akad. Nauk SSSR **146** (1962), no. 3, 515–518. English transl: Soviet Math. Dokl. **3** (1962), no. 5, 1328–1331.
- [A 87] Akivis, M. A., *On multidimensional strongly parabolic surfaces*, (Russian) Izv. Vyssh. Uchebn. Zaved. Mat. **1987**, no. 5 (311), 3–10. English transl: Soviet Math. (Iz. VUZ) **31** (1987), no. 5, 1–11.
- [AG 93] Akivis, M. A., and V. V. Goldberg, *Projective differential geometry and its generalizations*, North-Holland, Amsterdam, 1993, xii+362 pp.
- [AG 00] Akivis, M. A., and V. V. Goldberg, *Equivalence of examples of Sacksteder and Bourgain*, 7 pp., 2000 (submitted).
- [AGL] Akivis, M. A., V. V. Goldberg, and J. Landsberg, *On the Griffiths-Harris conjecture on varieties with degenerate Gauss mappings*, 1999, 3 pp. (submitted).
- [AR 64] Akivis, M. A. and V. V. Ryzhkov, *Multidimensional surfaces of special projective types*, (Russian) Proc. Fourth All-Union Math. Congr. (Leningrad, 1961), Vol. II, pp. 159–164, Izdat. "Nauka", Leningrad, 1964.
- [B 97] Borisenko, A. A., *Extrinsic geometry of strongly parabolic multidimensional submanifolds* (Russian), Uspekhi Mat. Nauk **52** (1997), no. 6(318), 3–52; English transl: Russian Math. Surveys **52** (1997), no. 6, 1141–1190.
- [Br 38] Brauner, K., *Über Mannigfaltigkeiten, deren Tangentialmannigfaltigkeiten ausgeart sind*, Monatsh. Math. Phys. **46** (1938), 335–365.
- [C 16] Cartan, É., *La déformation des hypersurfaces dans l'espace euclidien réel à n dimensions*, Bull. Soc. Math. France **44** (1916), 65–99.

- [C 19] Cartan, É., *Sur les variétés de courbure constante d'un espace euclidien ou non-euclidien*, Bull. Soc. Math. France **47** (1919), 125–160; **48** (1920), 132–208.
- [C 45] Cartan, É., *Les systèmes différentiels extérieurs et leurs applications géométriques*, Hermann, Paris, 1951, 214 pp.
- [CK 52] Chern, S. S. and N. H. Kuiper, *Some theorems on isometric imbeddings of compact Riemannian manifolds in Euclidean space*, Ann. of Math. (2) **56** (1952), 422–430.
- [D 89] Delanoë, Ph., *L'opérateur de Monge-Ampère réel et la géométrie des sous-varoétés*, in *Geometry and Topology of Submanifolds* (Eds. J. M. Morvan and L. Verstraelen), World Scientific, 1989, pp. 49–72.
- [DFN 85] Dubrovin, B. A., A. T. Fomenko, and S. P. Novikov, *Modern geometry – methods and applications, Part II. The geometry and topology of manifolds*, Springer-Verlag, New York–Berlin, 1985, xv+430 pp.
- [E 86] Ein, L., *Varieties with small dual varieties. I*, Invent. Math. **86** (1986), no. 1, 63–74.
- [E 85] Ein, L., *Varieties with small dual varieties. II*, Duke Math. J. **52** (1985), no. 4, 895–907.
- [FW 95] Fischer, G. and H. Wu, *Developable complex analytic submanifolds*, Internat. J. Math. **6** (1995), no. 2, 229–272.
- [GH 79] Griffiths, P. A. and J. Harris, *Algebraic geometry and local differential geometry*, Ann. Sci. École Norm. Sup. (4) **12** (1979), 355–452.
- [I 98] Ishikawa, G., *Developable hypersurfaces and algebraic homogeneous spaces in real projective space*, in *Homogeneous structures and theory of submanifolds* (Kyoto, 1998). Sūrikaisekikenkyūsho Kōkyūroku No. 1069, (1998), 92–104.
- [I 99a] Ishikawa, G., *Singularities of developable surfaces*, Singularity theory (Liverpool, 1996), xxii–xxiii, 403–418, London Math. Soc. Lecture Note Ser., 263, Cambridge Univ. Press, Cambridge, 1999.
- [I 99b] Ishikawa, G., *Developable hypersurfaces and homogeneous spaces in a real projective space*, Lobachevskii J. Math. **3** (1999), 113–125.
- [IM 97] Ishikawa, G. and T. Marimoto, *Solution surfaces of Monge-Ampère equations*, Hokkaido Univ. Preprint Series **376** (1997), 16 pp.
- [KN 69] Kobayashi, S. and K. Nomizu, *Foundations of differential geometry*, Vol. 2, Wiley–Interscience, New York/London/Sydney, 1969, xv+470 pp.

- [L 96] Landsberg, J. M., *On degenerate secant and tangential varieties and local differential geometry*, Duke Math. J. **85** (1996), 605–634.
- [L 99] Landsberg, J. M., *Algebraic geometry and projective differential geometry*, Lecture Notes Series, No. 45, Seoul National Univ., Seoul, Korea, 1999, 85pp.
- [R 58] Ryzhkov, V. V., *Conjugate nets on multidimensional surfaces*, (Russian) Trudy Moskov. Mat. Obshch. **7** (1958), 179–226.
- [R 60] Ryzhkov, V. V., *Tangential degenerate surfaces*, (Russian) Dokl. Akad. Nauk SSSR **135** (1960), no. 1, 20–22. English transl: Soviet Math. Dokl. **1** (1960), no. 1, 1233–1236.
- [S 60] Sacksteder, R: *On hypersurfaces with no negative sectional curvature*, Amer. J. Math. **82** (1960), no. 3, 609–630.
- [Sa 57] Savel'yev, S. I., *Surfaces with plane generators along which the tangent plane is constant*, (Russian) Dokl. Akad. Nauk SSSR **115** (1957), no. 4, 663–665.
- [Sa 60] Savel'yev, S. I., *On surfaces with plane generators along which the tangent plane is constant*, (Russian) Izv. Vyssh. Uchebn. Zaved. Mat. **1960**, no. 2 (15), 154–167.
- [Se 07] Segre, C., *Su una classe di superficie degli iperspazi legate alle equazioni lineari alle derivate parziali di 2° ordine*, Atti Accad. Sci. Torino Cl. Sci. Fis. Mat. Natur. **42** (1907), 559–591.
- [W 77] Wolf, J. A., *Spaces of constant curvature*, 4th ed., Publish or Perish, Berkeley, 1977, xvi+408 pp.
- [Wu 95] Wu, H., *Complete developable submanifolds in real and complex Euclidean spaces*, Internat. J. Math. **6** (1995), no. 3, 461–489.
- [WZ 99] Wu, H. and P. Zheng, *On complete developable submanifolds in complex Euclidean spaces*, Preprint, 30 pp. (July 15, 1999).
- [Y 53] Yanenko, N. N., *Some questions of the theory of embeddings of Riemannian metrics into Euclidean spaces*, (Russian) Uspekhi Mat. Nauk **8** (1953), no. 1 (53), 21–100.

*Authors' addresses:*

M. A. Akivis

Department of Mathematics

Jerusalem College of Technology—Mahon Lev  
Havaad Haleumi St., P. O. B. 16031  
Jerusalem 91160, Israel

E-mail address: akivis@avoda.jct.ac.il

V. V. Goldberg

Department of Mathematical Sciences  
New Jersey Institute of Technology  
University Heights  
Newark, N.J. 07102, U.S.A.

E-mail address: vlgold@m.njit.edu